



Canadian Commercial Corporation
Corporation Commerciale Canadienne

Human Rights Due Diligence Exporter Questions

The questions are based on requirements of the UN Guiding Principles and the UN Arms Trade Treaty.

Product

1. Is the product(s) a weapon or controlled good or technology? Will Canadian export permits or export permits from other jurisdictions be required?
2. What is the intended end use of the product including the possible alternative uses?
3. Does the intended or possible uses of the product pose a substantial risk of human rights abuses, particularly to civilian populations?
4. Can the product be modified post-delivery to pose a substantial risk of human rights abuses, particularly to civilian populations?

Buyer/ End-user

5. Is the buyer/end user the military, police, security or armed forces?
6. Have similar products been exported by the Corporation to the buyer/end-user previously? If yes, please attach details.
7. Does the Corporation have representatives on the ground who may have information regarding the use of the product by the end-user during the contract? If so, is this information reported back to the Corporation?

Litigation History

8. Has the Corporation, by itself or in partnership, or any of its related corporations, principle shareholder, senior officer or senior management ever been investigated for, been charged with, been found by guilty any court, or been subject to equivalent civil or administrative penalties for labour rights or human rights violations? If yes, please attach details.

Governance

9. List all voluntary corporate responsibility/sustainability standards or initiatives that the Corporation has endorsed, adopted, or joined (e.g., UN Guiding Principles on Business and Human Rights, OECD Guidelines for Multinational Enterprises, UN Global Compact, Equator Principles).
10. Provide copies of any policies that address the responsibilities and expectations of the Corporation or its directors, officers, employees, agents, suppliers, or business partners with respect to (a) freedom of association, (b) child and forced labour, (c) working time and minimum wage, (d) non-discrimination, (e) health, safety and security, (f) environmental risk management, (g) use of force and/or (h) any other internationally recognized human rights.
11. Does the Corporation have established controls and procedures to oversee and monitor implementation of the above policies? If yes, please attach the details.
12. Does the Corporation conduct human rights related training for its employees and/or associated third parties? If yes, please attach the details.
13. Does the Corporation conduct due diligence of its supply chain for potential human rights impacts?

Risk Management

14. In the last three years, has the Corporation conducted or commissioned any risk or impact assessments to identify actual or potential adverse human rights impacts with which the Corporation is involved through (i) its own operations, products, or services and/or (ii) the operations, products, or services of suppliers or business partners? If yes, please attach the details.
15. Does the Corporation have a process to ensure remediation of human rights impacts, monitor implementation, and improve human rights risk management based on its human rights impact assessments and due diligence findings? If yes, please attach the details.
16. Does the Corporation have any formal complaints process(es) or any other grievance mechanism(s) to receive and address human rights-related complaints? If yes, please attach details regarding (i) who can access the mechanism, (ii) the types of complaints it can handle, and (iii) assurances of procedural fairness.

Disclosure

17. Does the Corporation report publicly on its human rights governance and performance? If yes, please attach any such reports from the previous three years.

Document History

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Approval: Title	President
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Amendment History	
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